# Physician Licensure: The Dos and Don'ts

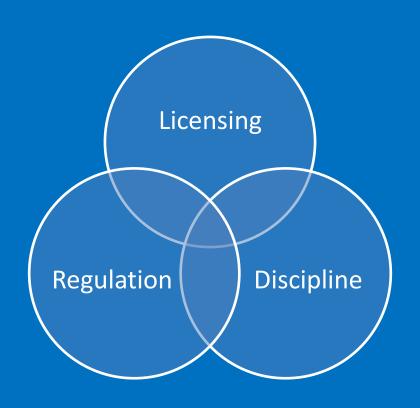
American Association of Physicians of Indian Origin

**Business of Medicine Symposium** 

July 5, 2019



### **Medical Board Functions**





### Medical Boards

70 allopathic (MD) and osteopathic (DO)
medical boards in the U.S., including territories
and the District of Columbia.

14 states have both an MD board and a DO board.



### Example – WV Board

- Meets 6x/year Jan., March, May, July, Sept., Nov.
- 16 members:
  - □ Appointed by the Governor:
    - > 8 MDs
    - > 2 DPMs
    - > 2 PAs
    - 3 lay members
  - ☐ State health officer (ex officio).



### **Board Committees**

- Complaint Committee
- Executive / Management Committee
- Legislative Committee
- Licensure Committee
- Personnel Committee
- Physician Assistant Committee



### Staff

- Administration
- Licensing, Certification & Renewals
- Investigation, Complaints and Compliance

• Total – 17



# By the Numbers

- Active licensees:
  - > MD 6,889

>DPM - 116

>PA - 9 974



# Budget

Fiscal 2018-19: \$2.4 million

Financially self-sufficient.

No General Revenue Fund appropriations.



# How to Stay in Compliance

 What draws the attention of a state medical board?

 What steps can you take to ensure you meet your professional and ethical responsibilities as a licensed physician?



# Complaints

Most are submitted by patients or patients' families.

"Unprofessional or dishonorable conduct"



# Common Reason for Complaints

- Dishonesty in the licensing application process;
- Poor record keeping
- Poor communication
- Physician sexual misconduct
- Prescribing issues
- Disruptive behavior (aggressive or passive-aggressive; condescending language or tone)
- Substandard care
- Substance abuse, impairment, mental health issues



# Licensing Application Process

- Fill out the medical license application yourself
- If you designated someone else to complete the application on your behalf, carefully review the entries
- YOU are responsible for any omissions or errors

# Poor Record Keeping

- Often signals other problems impairment, fraud, over-prescribing, substandard care
- Written and electronic documentation:
  - Reflects thorough examination;
  - Solid clinical reasoning;
  - Plan of care.



### Medical Records

#### Should be:

- Timely;
- Accurate;
- Complete.

#### Should NOT be:

- Altered or misrepresented;
- Copied and pasted from previous visits.



### Poor Communication

- Patients object to:
  - "Flippant" or "condescending" tone;
  - "Being scolded;"
  - Being "ignored" or "not heard;"
  - Too much medical "jargon." (avoid acronyms)

# Strong Communication

- Listen more, talk less
- Show empathy
- Maintain appropriate eye contact
- Slow down
- Keep it simple use readily understood words (Again: avoid jargon, acronyms)



# Physician Sexual Misconduct

- Patients share personal/intimate details
- Inherent power imbalance
- Exploitation through sexual or financial relationship can lead to:
- State medical board sanctions
- Criminal and/or civil charges



### Prescribing Issues - Causes

- Physician empathetic to a fault
- Solo practitioners "on an island"
- Inadequate physical exam and data
- Inadequate knowledge of alternatives
- Polypharmacy multiple prescriptions
- Failure to stay current on CE requirements



# Prescribing Issues - Causes

- Treatment or prescribing for family member or close friend
- Prescribing outside the normal physicianpatient relationship
- Unprofessional conduct particularly when prescribing controlled substances



# Prescribing Issues - Remedies

- Prescription drug monitoring programs PDMPs
- Physicians <u>required</u> to register
- Physicians <u>required</u> to query when prescribing certain drug classes with a potential for abuse



# Disruptive Behavior

### Aggressive:

- Yelling
- Threatening gestures
- Invading another's space
- Passive-Aggressive:
  - Condescending language
  - Impatience
  - Sarcasm



### Disruptive Behavior - Causes

- Physicians experiencing other issues fatigue, stress, anger management
- Compounded by "self-coping" with alcohol or drugs
- Solution Physician Help Program (PHP)



### Substandard Care

- Failure to maintain complete/accurate medical records
- Deviating from accepted standards of care without justifiable rationale
- Practicing outside scope of specialty or practice-focus



### **Contact Information**

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